



### Ocean University of China

Ocean Monitoring and Inspection Center

# **Monitoring (Inspection) Report**

# OUC (Testing) No. HDJC2012-005

Qingdao Headway Technology Co., Ltd. Client:

Scaling Test of OceanGuard<sup>TM</sup> Ballast Water Project Name:

Management System

Marine Chemistry Analysis and Detection Laboratory Inspection Dept.:

Approved by:

Date of issue: 13/11/2012

Inspected by.: Ocean Monitoring and Inspection Center,

Ocean University of China

Official Seal)

**STATEMENT** 

1. The report is invalid without the official seal of Ocean Monitoring and Inspection Center,

Ocean University of China (hereinafter referred to as the Center).

2. Copy of the report is invalid without the original seal of the Center.

3. The report is invalid without signature of test operator, verifier, and authorizer.

4. The report is invalid with any alteration.

5. Should any dissidence arisen on the Test Report, please contact the Center within thirty

days after receiving the report. An overdue submission of any complains will be

disregarded.

6. If the samples were sent to the Center by the client, the Center is only responsible for the

testing results, but not for the source of the samples,.

7. Test results are valid only for the same batch of samples.

8. Copy of test reports is not allowed without written permission of the Center.

9. This report is in decuplicate, with five for English version and five for Chinese version. For

each version, two original copies and one duplicate will be sent to the Client, two

duplicates will be kept at the Center and the inspection department (laboratory) respectively

for documentation.

To improve our testing capability and service quality continuously and to better serve clients

and the community, comments from all sectors of the community to the Center are warmly

welcome.

Ocean Monitoring and Inspection Center

Ocean University of China

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Ocean Monitoring and Inspection Center, Ocean University of China

#### No. HDJC2012-005

Page 1/26

Name of	1							
project	Scalin	g Testing of OceanGua	ard <sup>TM</sup> Ballast Water	Management System				
Client	Add:	Name: Qingdao Headway Technology Co., Ltd. Add: F4, #5Building, Huite Industrial City, Zhuzhou Road, Qingdao, China Tel: (+86) -532-88702808						
Project Description	physic treated Beihai Ballas HMT-the imappoint sampli specifi period 25th to 21s	tal, chemical and biolar and biolar by OceanGuard Market Bala Shipbuilding Heavy It Water Management 3000). Ocean Monitoring plementation party of the test sites to sing and on-site inspected laboratories of the Three test cycles were 30 30th, 2012. Second control of the site of the control of the site of the control of	ogical parameters llast Water Manage industry Co., Ltd. Systems tested ing and Inspection of the project, and supervise the operation as required. Center and tested in a carried out, during yele, Oct., 10th to ation, sampling, a	Co., Ltd., the project comprises tests on and gas concentration of ballast water ement System, in the test facility, Qingdao There are three types of OceanGuard TM in this project (HMT-50, HMT-1200, Center, Ocean University of China acts as accredited staffs from the Center were ation of the whole process and to carry out Off-site testing samples were delivered to d by accredited staffs within a specified gethe following period. First cycle, Sep. Oct., 15th, 2012. Third cycle, Oct. 16th and inspection procedures were under				
	NO.	Name	Model	Main Parameter				
	1	Ballast pump	Outsourcing	Rated Capacity: 100 m <sup>3</sup> /h and 2200 m <sup>3</sup> /h				
			HMT-50F	Rated Capacity: 50 m <sup>3</sup> /h				
	2	Filter	HMT-1200F	Rated Capacity: 1200 m <sup>3</sup> /h				
			HMT-3000F	Rated Capacity: 3000 m <sup>3</sup> /h				
	3	EUT U.:	HMT-50E	Rated Capacity: 50 m <sup>3</sup> /h				
Test	3	EUT Unit	HMT-1200E HMT-3000E	Rated Capacity: 1200 m <sup>3</sup> /h				
Equipment			HMT-125FM,	Rated Capacity: 3000 m <sup>3</sup> /h DN125				
	4	Flow Meter	HMT-600FM	DN123 DN600				
	5	Neutralization Unit	HMT-600N	Volume: 600L				
	6	Monitoring Unit	HMT-TRO	<u></u>				
	7	Power Distribution Unit	HMŢ-PDU	· —				
	8	Control Unit	HMT-CL					
Test Process and Equipment	See r	eport page 22 -26						

Test Operator:

Date: 7/11/2012

Verifier: 7/11/2012 Authorizer: 7/11/2012

Ocean Monitoring and Inspection Center, Ocean University of China

### No. HDJC2012-005

Page 2/26

	No.	Item	Sample Container	Collected Volume	Preservation	Expected Storage Time
	1	Temperature	- Plastic Bottle	1 L	Test on site	<u> </u>
	2	Salinity	Trastic Bottle	I L	Test on site	
	3	TRO	Pretreated Plastic Bottle	1 L	Test on site	
	4	DOC	Pretreated Plastic Bottle	1 L	9-4 ℃	≤7 days
	5	POC	Pretreated Plastic Bottle	1 L	0-4 °C	≤7 days
ampling	6	TSS	Pretreated Plastic Bottle	1 L	0-4 °C	≤24 hrs
and Storage 7	7	Organisms ≥50 µm	Sterile Plastic Bottle	1 m <sup>3</sup>	Test on site	≤6 hrs
	8	Organisms ≥10-50 μm	Sterile Plastic Bottle	1 L	4 ℃	≤24 hrs
	9	Heterotrophic Bacteria				
	10	E. coli	Sterile Plastic		1 %	
	11	Vibrio Cholera	Bottle	1 L	4 °C	≤24 hrs
	12	Enterococcu Group Bacteria				
	13	H <sub>2</sub>			Test on site	-
Remark		A I				
st Operato	or:	种格里为	dre Werifi	er: MAS	119 Aut	horizer: Thick :: 8/11/2

Ocean Monitoring and Inspection Center, Ocean University of China

#### No. HDJC2012-005

Page 3/26

	No.		Item	N	<b>Iethod</b>	Major Instrument
	1	Те	mperature	Senso	or Detection	VICTOR TP01
	2	Salinity		Senso	r Detection	HOTEC SC-106
Testing Method and Major Instrument	3	TRO			DPD	HACH DR/2800
	4		DOC	Catalytic Combustion		SHIMADZU TOC-Vcpn
	5		POC		atalytic nbustion	SHIMADZU TOC-Vcpn
	6	TSS		We	eighting	DDG-9203 Electric Blast Drying Oven METTLER TOLEDO EL104 Balance
	7	Organ	nisms≥50 μm		croscope rmination	OPTEC SMJ-T2 Stereo Microscope
	8	Organisms ≥10-50 μm		CFDA		Leica OMLA Fluorescence Microscope YUE FENG SPX-150 Low-temperature Incubator
	9	Heterotrophic Bacteria		Plate Counting		YUE FENG SPX-150 Low-temperature Incubator
	10	E. coli		Counting after membrane filtration		YUE FENG SPX-150 Low-temperature Incubator
	11		coccus group pacteria	Counting after membrane filtration		YUE FENG SPX-150 Low-temperature Incubator
	12	Vib	rio cholera		nting after ane filtration	YUE FENG SPX-150 Low-temperature Incubator
	13		H <sub>2</sub>	Sensor Detection		HAN WEI BX618 Gas Detector
Testing Results	See re	port pag	e 4 -21			-
Laboratory Environment	Tempe	erature	19.0 ℃		Humidity	70 %
Remark			8 . 0			

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#### No. HDJC2012-005

Page 4/26

#### 1 Testing results of Cycle 1

1.1 Operation Condition

Name of the system: OceanGuard<sup>TM</sup> Ballast Water Management System

Manufacturer: Qingdao Headway Technology Co., Ltd.

Location: No. 8 Wharf, Qingdao Beihai Shipbuilding Heavy Industry Co., Ltd.

Cycle NO.: Cycle 1

Date: 09/25/2012—09/30/2012

Weather (Ballasting): Sunny

Longitude: 35°59.18'E

Latitude: 120°16.25'N

Water Depth: 9.6 m

Test Operator:

Verifier: Authorizer: 7523

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#### No. HDJC2012-005

Page 5/26

### 1.2 Operation Data

Table 1.2.1 Operation time and flow

Date	Process Description	Start Time	Stop Time	Average Flow Rate (m <sup>3</sup> /h)
2012/09/25	Treated Water (HMT-50)	11:43	12:13	83.35
2012/09/25	Treated Water (HMT-1200)	10:44	11:09	1302
2012/09/25	Treated Water (HMT-1200)	10:03	10:23	3068
2012/09/25	Control Water	12:38	13:26	3053

Test Operator:

Verifier: ANTIB

Authorizer:

松江

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#### No. HDJC2012-005

Page 6/26

#### 1.3 Test result of water and gas

Table 1.3.1 Test result of influent water

Item	Influent Water					
item	HMT-50	HMT-1200	HMT-3000			
Temperature (°C)	23.7±0.3	$23.9 \pm 0.8$	23.7±0.2			
Salinity (PSU)	$31.0 \pm 0.2$	$31.0 \pm 0.3$	30.8±0.2			
TRO (mg/L)	0.01±0.01	$0.01 \pm 0.01$	0.00 ± 0.00			
POC (mg/L)	0.44±0.02	$0.46 \pm 0.05$	$0.47 \pm 0.08$			
DOC (mg/L)	1.94±0.23	$2.07 \pm 0.11$	2.36±0.25			
TSS (mg/l)	7.13±0.85	7.75±1.50	$7.91 \pm 0.79$			
H <sub>2</sub> (%LEL)	0.0	0.0	0.0			

Table 1.3.2 Test result of treated water

Item	Treated Water					
Item	HMT-50	HMT-1200	HMT-3000			
Temperature (°C)	23.7±0.2	23.5±0.2	23.7±0.1			
Salinity (PSU)	31.2±0.1	31.3±0.1	31.0±0.3			
TRO (mg/L)	2.04±0.02	1.97±0.02	$1.98 \pm 0.04$			
POC (mg/L)	$0.43 \pm 0.06$	$0.48 \pm 0.08$	$0.38 \pm 0.05$			
DOC (mg/L)	1.98±0.08	2.08±0.20	$2.70 \pm 0.46$			
TSS (mg/l)	$7.14 \pm 0.24$	7.75 ± 1.50	$7.38 \pm 0.95$			
H <sub>2</sub> (%LEL)	0.0	0.0	0.0			

Test Operator: Test O

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#### No. HDJC2012-005

Page 7/26

Table 1.3.3 Test result of discharged water

	Discharged Water					
Item	HMT-50	HMT-1200	HMT-3000			
Temperature (°C)	23.8±0.1	$23.5 \pm 0.2$	23.7±0.2			
Salinity (PSU)	31.1±0.3	31.2±0.1	31.1±0.2			
TRO (mg/L)	$0.01 \pm 0.00$	$0.01 \pm 0.01$	0.01±0.01			

Table 1.3.4 Test result of control water

Item	Control Water
Temperature (°C)	$24.0 \pm 1.2$
Salinity (PSU)	$31.4 \pm 0.6$
TRO (mg/L)	$0.01 \pm 0.01$
POC (mg/L)	$0.36 \pm 0.03$
DOC (mg/L)	$2.02 \pm 0.09$
TSS (mg/L)	$7.65 \pm 1.26$
Organisms≥50 μm (/m³)	$502.84 \pm 17.02$
Organisms ≥10-50 μm (/mL)	$(1.42\pm0.50)\times10^2$
Heterotrophic bacteria (/100 mL)	$(3.33\pm3.46)\times10^7$
E. coli(/100 mL)	$(1.09\pm0.28)\times10^2$
Enterococcus group bacteria (/100 mL)	$(5.74\pm1.72)\times10^2$
Vibrio cholera (/100 mL)	$(2.33\pm0.63)\times10^2$
H <sub>2</sub> (%LEL)	0.0

Test Operator: Authorizer: Authorizer:

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#### No. HDJC2012-005

Page 8/26

Table 1.3.5 Test result of storage tank (T1)

Itama	T1 (Water Treated by HMT-50)						
Item	Day 1	Day 2	Day 3	Day 4	Day 5		
Temperature (°C)	23.4	22.8	18.1	18.6	18.4		
Salinity (PSU)	30.8	30.8	30.8	30.8	30.8		
TRO (mg/L)	$0.12 \pm 0.01$	$0.03 \pm 0.02$	$0.01 \pm 0.01$	$0.01 \pm 0.01$	$0.01 \pm 0.01$		
H <sub>2</sub> (%LEL)	0.0	0.0	0.0	0.0	0.0		

Table 1.3.6 Test result of storage tank (T2)

Τ.	T2 (Water Treated by HMT-1200)						
Item	Day 1	Day 2	Day 3	Day 4	Day 5		
Temperature (°C)	23.3	22.6	17.8	18.3	18.2		
Salinity (PSU)	30.7	30.8	30.8	30.7	30.8		
TRO (mg/L)	$0.09 \pm 0.01$	$0.04 \pm 0.02$	$0.01 \pm 0.01$	$0.00\pm0.01$	$0.01 \pm 0.01$		
H <sub>2</sub> (%LEL)	0.0	0.0	0.0	0.0	0.0		

Table 1.3.7 Test result of storage tank (T3)

	T3 (Water Treated by HMT-3000)						
Item	Day 1	Day 2	Day 3	Day 4	Day 5		
Temperature (°C)	23.2	22.7	17.9	18.5	17.9		
Salinity (PSU)	30.8	30.9	30.8	30.8	30.8		
TRO (mg/L)	$0.11 \pm 0.01$	$0.04 \pm 0.01$	$0.01 \pm 0.01$	$0.00 \pm 0.01$	$0.00 \pm 0.00$		
H <sub>2</sub> (%LEL)	0.0	0.0	0.0	0.0	0.0		

Test Operator:

Verifier: 河内智智 Authorizer: 花知到

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### No. HDJC2012-005

Page 9/26

Thomas	T4 (Control Water)						
Item	Day 1	Day 2	Day 3	Day 4	Day 5		
Temperature (°C)	23.5	22.9	18.4	19.1	18.6		
Salinity (PSU)	31.0	30.8	30.8	30.8	30.8		
TRO (mg/L)	$0.03 \pm 0.01$	$0.00\pm0.01$	$0.01 \pm 0.01$	$0.01 \pm 0.01$	$0.00 \pm 0.01$		
H <sub>2</sub> (%LEL)	0.0	0.0	0.0	. 0.0	0.0		

Test Operator:

Verifier: MAPLE

Authorizer: The last

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#### No. HDJC2012-005

Page 10/26

### 2 Testing results of Cycle 2

2.1 Operation Condition

Name of the system: OceanGuard<sup>TM</sup> Ballast Water Management System

Manufacturer: Qingdao Headway Technology Co., Ltd.

Location: No. 8 Wharf, Qingdao Beihai Shipbuilding Heavy Industry Co., Ltd.

Cycle NO.: Cycle 2

Date: 10/10/2012—10/15/2012

Weather (Ballasting): Sunny

Longitude: 35°59.18'E Latitude: 120°16.25'N Water Depth: 9.9 m

Test Operator:

Verifier: 7 1/2 Authorizer: 7243

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#### No. HDJC2012-005

Page 11/26

### 2.2 Operation Data

Table2.2.1 Operation time and flow

Date	Process Description	Start Time	Stop Time	Average Flow Rate (m <sup>3</sup> /h)
2012/10/10	Treated Water (HMT-50)	11:27	12:02	68.41
2012/10/10	Treated Water (HMT-1200)	10:30	11:00	1267
2012/10/10	Treated Water (HMT-1200)	9:40	10:10	3148
2012/10/10	Control Water	12:15	13:05	3068

Test Operator:

Verifier: 14 1814 Authorizer: Authorizer:

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#### No. HDJC2012-005

Page 12/26

### 2.3 Test result of water and gas

Table 2.3.1 Test result of influent water

Itama	Influent Water				
Item	HMT-50	HMT-1200	HMT-3000		
Temperature (°C)	22.6±0.1	21.9±0.5	$21.3 \pm 0.5$		
Salinity (PSU)	31.4±0.2	31.2±0.3	31.1±0.6		
TRO (mg/L)	$0.02 \pm 0.01$	0.02±0.01	$0.02 \pm 0.01$		
POC (mg/L)	$0.67 \pm 0.08$	0.76±0.11	$0.57 \pm 0.08$		
DOC (mg/L)	2.27±0.19	1.75±0.02	$1.79 \pm 0.04$		
TSS (mg/l)	$3.52 \pm 0.89$	5.08±0.32	5.06±1.05		
H <sub>2</sub> (%LEL)	0.0	0.0	0.0		

Table 2.3.2 Test result of treated water

I.	Treated Water				
Item	HMT-50	HMT-1200	HMT-3000		
Temperature (°C)	22.6±0.2	22.0±0.1	21.7±0.2		
Salinity (PSU)	31.5±0.3	31.3±0.2	31.3±0.3		
TRO (mg/L)	$2.01 \pm 0.05$	$1.90 \pm 0.02$	1.96±0.03		
POC (mg/L)	$0.60 \pm 0.03$	$0.74 \pm 0.21$	$0.65 \pm 0.02$		
DOC (mg/L)	$1.93 \pm 0.02$	1.76±0.05	1.76±0.02		
TSS (mg/l)	$4.61 \pm 1.94$	5.01±0.67	$3.60 \pm 0.44$		
H <sub>2</sub> (%LEL)	0.0	0.0	0.0		

Test Operator:

Verifier: MITELY Authorizer: 18212

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#### No. HDJC2012-005

Page 13/26

Table 2.3.3 Test result of discharged water

*	Discharged Water				
Item	HMT-50	HMT-1200	HMT-3000		
Temperature (°C)	$23.3 \pm 0.7$	$22.1 \pm 0.32$	$22.0 \pm 0.5$		
Salinity (PSU)	$31.6 \pm 0.2$	31.4±0.1	31.6±0.0		
TRO (mg/L)	$0.03 \pm 0.01$	$0.02 \pm 0.01$	$0.03 \pm 0.01$		

Table 2.3.4 Test result of control water

Item	Control Water
Temperature (°C)	$24.7 \pm 0.4$
Salinity (PSU)	31.4±0.2
TRO (mg/L)	$0.03 \pm 0.01$
POC (mg/L)	$0.64 \pm 0.03$
DOC (mg/L)	$1.83 \pm 0.04$
TSS (mg/L)	5.30±0.50
Organisms≥50 μm (/m³)	674.54±33.53
Organisms ≥10-50 μm (/mL)	$(2.61\pm0.78)\times10^2$
Heterotrophic bacteria (/100 mL)	$(7.76 \pm 8.79) \times 10^7$
E. coli (/100 mL)	$(2.83\pm0.14)\times10^2$
Enterococcus group bacteria (/100 mL)	$(1.25\pm0.02)\times10^2$
Vibrio cholera (/100 mL)	$(2.32\pm0.63)\times10^2$
H <sub>2</sub> (%LEL)	0.0

Test Operator: Test O



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#### No. HDJC2012-005

Page14/26

Table 2.3.5 Test result from storage tank (T1)

74	T1 (Water Treated by HMT-50)						
Item	Day 1	Day 2	Day 3	Day 4	Day 5		
Temperature (°C)	21.5	22.1	21.8	21.6	22.2		
Salinity (PSU)	31.4	31.4	31.4	31.4	31.4		
TRO (mg/L)	$0.14 \pm 0.04$	$0.04 \pm 0.01$	$0.01 \pm 0.01$	$0.01 \pm 0.01$	$0.01 \pm 0.01$		
H <sub>2</sub> (%LEL)	0.0	0.0	0.0	0.0	0.0		

Table 2.3.6 Test result from storage tank (T2)

Τ.	T2 (Water Treated by HMT-1200)						
Item	Day 1	Day 2	Day 3	Day 4	Day 5		
Temperature (°C)	22.2	21.3	21.8	21.6	21.4		
Salinity (PSU)	31.1	30.9	30.8	30.7	30.7		
TRO (mg/L)	$0.12 \pm 0.01$	$0.05 \pm 0.01$	0.01±0.01	$0.01 \pm 0.01$	$0.01 \pm 0.00$		
H <sub>2</sub> (%LEL)	0.0	0.0	0.0	0.0	0.0		

Table 2.3.7 Test result from storage tank (T3)

	T3 (Water Treated by HMT-3000)					
Item	Day 1	Day 2	Day 3	Day 4	Day 5	
Temperature (°C)	21.4	20.8	21.2	21.3	21.1	
Salinity (PSU)	31.2	31.0	31.1	31.1	31.0	
TRO (mg/L)	$0.11 \pm 0.02$	$0.03 \pm 0.01$	$0.00\pm0.01$	$0.00 \pm 0.01$	$0.00 \pm 0.01$	
H <sub>2</sub> (%LEL)	0.0	0.0	0.0	0.0	0.0	

Test Operator:

Verifier: All Authorizer: Authorizer:

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### No. HDJC2012-005

Page 15/26

	T4 (Control Water)						
Item	Day 1	Day 2	Day 3	Day 4	Day 5		
Temperature (°C)	21.7	22.3	21.8	21.6	21.4		
Salinity (PSU)	30.8	31.1	30.8	30.7	30.7		
TRO (mg/L)	$0.03 \pm 0.00$	$0.02 \pm 0.01$	0.01±0.01	$0.01 \pm 0.01$	$0.00 \pm 0.00$		
H <sub>2</sub> (%LEL)	0.0	0.0	0.0	0.0	0.0		

Test Operator:

Verifier: 71 + 417 Authorizer:

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#### No. HDJC2012-005

Page 16/26

### 3 Testing results of Cycle 3

3.1 Operation Condition

Name of the system: OceanGuard<sup>TM</sup> Ballast Water Management System

Manufacturer: Qingdao Headway Technology Co., Ltd.

Location: No. 8 Wharf, Qingdao Beihai Shipbuilding Heavy Industry Co., Ltd.

Cycle NO.: Cycle 3

Date: 10/16/2012—10/21/2012

Weather (Ballasting): Cloudy

Longitude: 35°59.18'E Latitude: 120°16.25'N

Water Depth: 9.2 m

Test Operator: Will Authorizer: Authorizer

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#### No. HDJC2012-005

Page 17/26

#### 3.2 Operation Data

Table 3.2.1 Operation time and flow

Date	Process Description	Start Time	Stop Time	Average Flow Rate (m³/h)
2012/10/16	Treated Water (HMT-50)	13:25	13:55	57.97
2012/10/16	Treated Water (HMT-1200)	10:35	11:05	1283
2012/10/16	Treated Water (HMT-1200)	9:30	10:05	2998
2012/10/16	Control Water	14:10	15:05	3066

Test Operator:

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Verifier: MATE

Authorizer: The Z

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#### No. HDJC2012-005

Page 18/26

### 3.3 Test result of water and gas

Table 3.3.1Test result of influent water

*.	Influent Water					
Item	НМТ-50	HMT-1200	HMT-3000			
Temperature (°C)	21.1±0.2	21.2±0.2	$21.0 \pm 0.1$			
Salinity (PSU)	31.5±0.2	31.2±0.1	31.5±0.1			
TRO (mg/L)	$0.01 \pm 0.01$	$0.02 \pm 0.02$	$0.01 \pm 0.01$			
POC (mg/L)	$0.39 \pm 0.06$	$0.37 \pm 0.05$	$0.45 \pm 0.11$			
DOC (mg/L)	1.69±0.09	$1.52 \pm 0.06$	$1.66 \pm 0.05$			
TSS (mg/l)	7.72±1.17	9.91±1.45	$10.28 \pm 0.88$			
H <sub>2</sub> (%LEL)	0.0	0.0	0.0			

Table 3.3.2 Test result of treated water

		Treated Water	
Item	HMT-50	HMT-1200	HMT-3000
Temperature (°C)	21.1±0.2	21.2±0.2	$21.0 \pm 0.2$
Salinity (PSU)	31.6±0.1	31.6±0.1	$31.5 \pm 0.2$
TRO (mg/L)	2.06±0.04	1.93±0.01	$2.02 \pm 0.06$
POC (mg/L)	$0.41 \pm 0.03$	0.38±0.07	$0.46 \pm 0.11$
DOC (mg/L)	1.71±0.10	1.52±0.03	$1.52 \pm 0.08$
TSS (mg/l)	6.95±1.70	10.08±1.14	$7.93 \pm 0.45$
H <sub>2</sub> (%LEL)	0.0	0.0	0.0

Test Operator:

Verifier: 717 glb Authorizer: 72212

Ocean Monitoring and Inspection Center, Ocean University of China (Attachment)

#### No. HDJC2012-005

Page 19/26

Table 3.3.3 Test result of discharged water

14		Discharged Water	
Item	HMT-50	HMT-1200	HMT-3000
Temperature (°C)	21.0±0.2	21.2±0.3	21.1±0.2
Salinity (PSU)	31.7±0.6	31.6±0.1	31.6±0.1
TRO (mg/L)	0.01±0.01	$0.01 \pm 0.01$	$0.05 \pm 0.02$

Table 3.3.4 Test result of control water

Item	Control Water
Temperature (°C)	21.2±0.1
Salinity (PSU)	31.6±0.1
TRO (mg/L)	$0.01 \pm 0.02$
POC (mg/L)	$0.37 \pm 0.02$
DOC (mg/L)	$1.70 \pm 0.06$
TSS (mg/L)	$6.38 \pm 0.30$
Organisms≥50 μm (/m³)	587.65 ± 43.24
Organisms ≥10-50 μm (/mL)	$(2.03\pm0.44)\times10^2$
Heterotrophic bacteria (/100 mL)	$(3.22 \pm 4.34) \times 10^8$
E. coli(/100 mL)	$(2.64 \pm 1.51) \times 10^2$
Enterococcus group bacteria (/100 mL)	$(5.21 \pm 1.51) \times 10^2$
Vibrio cholera (/100 mL)	$(7.89 \pm 1.26) \times 10^2$
H <sub>2</sub> (%LEL)	0.0

Test Operator: Authorizer: Authorizer: Authorizer: Authorizer:

Ocean Monitoring and Inspection Center, Ocean University of China (Attachment)

#### No. HDJC2012-005

Page 20/26

Table 3.3.5 Test result from storage tank (T1)

	T1 (Water treated by HMT-50)					
Item	Day 1	Day 2	Day 3	Day 4	Day 5	
Temperature (°C)	18.7	18.2	18.4	18.6	18.6	
Salinity (PSU)	31.2	31.3	31.1	31.4	30.3	
TRO (mg/L)	$0.12 \pm 0.02$	$0.03 \pm 0.01$	$0.01 \pm 0.01$	$0.01 \pm 0.01$	$0.01 \pm 0.00$	
H <sub>2</sub> (%LEL)	0.0	0.0	0.0	0.0	0.0	

Table 3.3.6 Test result from storage tank (T2)

	T2 (Water treated by HMT-1200)				
Item	Day 1	Day 2	Day 3	Day 4	Day 5
Temperature (°C)	19.7	18.8	18.4	18.6	18.6
Salinity (PSU)	31.2	31.3	31.4	31.1	31.2
TRO (mg/L)	$0.09 \pm 0.02$	$0.03 \pm 0.01$	$0.01 \pm 0.01$	$0.01 \pm 0.01$	$0.01 \pm 0.01$
H <sub>2</sub> (%LEL)	0.0	0.0	0.0	0.0	0.0

Table 3.3.7 Test result from storage tank (T3)

	T3 (Water treated by HMT-3000)					
Item	Day 1	Day 2	Day 3	Day 4	Day 5	
Temperature (°C)	19.6	18.1	18.5	18.7	18.6	
Salinity (PSU)	31.2	31.5	31.4	31.3	31.4	
TRO (mg/L)	$0.10 \pm 0.02$	$0.04 \pm 0.01$	$0.00\pm0.01$	$0.00 \pm 0.01$	$0.00 \pm 0.01$	
H <sub>2</sub> (%LEL)	0.0	0.0	0.0	0.0	0.0	

Test Operator: Test O

Ocean Monitoring and Inspection Center, Ocean University of China (Attachment)

#### No. HDJC2012-005

Page 21/26

Table 3.3.8 Test re	esult from sto	rage tank (T4)	)		
Tr		T4	(Control Wate	r)	
Item	Day 1	Day 2	Day 3	Day 4	Day 5
Temperature (°C)	18.9	17.6	17.9	18.3	18.5
Salinity (PSU)	31.3	31.4	31.6	31.5	31.4
TRO (mg/L)	$0.04 \pm 0.01$	$0.03 \pm 0.01$	$0.01 \pm 0.01$	$0.01 \pm 0.01$	$0.00 \pm 0.00$
H <sub>2</sub> (%LEL)	0.0	0.0	0.0	0.0	0.0

Test Operator: Verifier: Weifier: Authorizer: 7843

Ocean Monitoring and Inspection Center, Ocean University of China (Attachment)

#### No. HDJC2012-005

Page 22/26

### 4 Introduction of the project

A complete scaling test cycle includes ballasting and storage. Three types of OceanGuard<sup>TM</sup> Ballast Water Management Systems were tested in this project (with the capacity of 50 m³/h, 1200 m³/h, 3000 m<sup>3</sup>/h respectively).

An example of running of HMT-3000 system was given. Firstly, after starting the P3 pump, water goes through the filter (HMT-3000F), as well as the EUT unit (HMT-3000E) for treatment. Treated water goes into the sea after neutralization process of the neutralization unit (HMT-600N). During the treatment process, 1 m<sup>3</sup> of treated water goes into the storage tanks, T3. Operation process of HMT-50 and HMT-1200 is the same as that of HMT-3000. The last step is simulating ballasting process of control water, i.e., water is pumped through the pump by the bypass pipe, and then goes into the sea directly. During the process, 1 m<sup>3</sup> of control water goes into the storage tanks, T4. After ballasting, all the storage tanks will be covered with opaque cover to avoid sunlight. There are 3 sampling points in pipes of each system, for the sampling of influent water, treated water, and discharged water.

Water in storage tanks T1, T2, T3, T4 should be stored for 5 days. TRO concentration, temperature, salinity, and concentration of hydrogen gas in the top of the tank should be measured everyday during the 5 days' period.

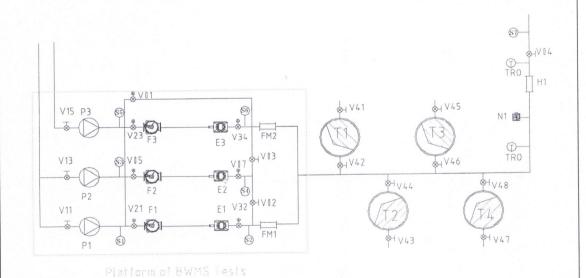


Figure 4.1 System layout of scaling test

Test Operator:

Verifier: The Authorizer:

Ocean Monitoring and Inspection Center, Ocean University of China (Attachment)

#### No. HDJC2012-005

Page 23/26

Table 4.1 Notation for the system layout of scaling test

NO.	Name	Main Parameters	Model
P1	Ballast pump	Rated Capacity: 100 m <sup>3</sup> /h	
P2	Ballast pump	Rated Capacity: 2200 m <sup>3</sup> /h	
P3	Ballast pump	Rated Capacity: 2200 m <sup>3</sup> /h	
F1	Filter	Rated Capacity: 50 m <sup>3</sup> /h	HMT-50F
F2	Filter	Rated Capacity: 1200 m <sup>3</sup> /h	HMT-1200F
F3	Filter	Rated Capacity: 3000 m <sup>3</sup> /h	HMT-3000F
E1	EUT Unit	Rated Capacity: 50 m <sup>3</sup> /h	HMT-50E
E2	EUT Unit	Rated Capacity: 1200 m <sup>3</sup> /h	HMT-1200E
E3	EUT Unit	Rated Capacity: 3000m <sup>3</sup> /h	HMT-3000E
FM1	Flow Meter	DN125	HMT-125FM
FM2	Flow Meter	DN600	HMT-600FM
N1	Neutralization Unit	Volume: 600L	HMT-600N
H1	Static Mixers	DN600	
T1-T4	Tank	Volume: 1 m <sup>3</sup>	
V01-V48	Valve		

Test Operator:

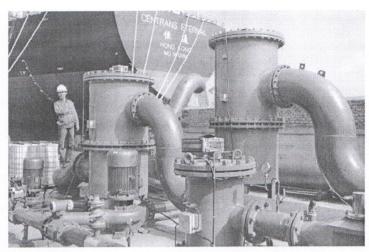
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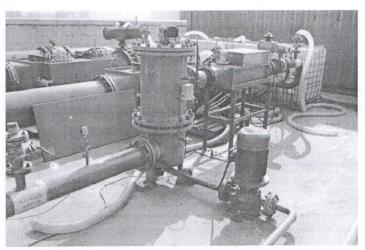
#### No. HDJC2012-005

Page 24/26

### 5 Pictures of systems tested



Pic.5.1 Filter



Pic.5.2 EUT Unit

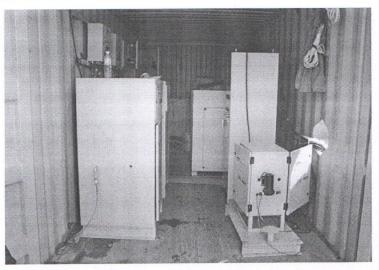
Test Operator:

Verifier: WARY Authorizer: TEXIZ

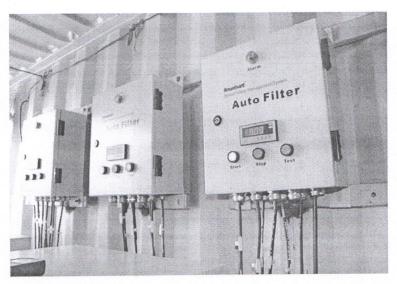
Ocean Monitoring and Inspection Center, Ocean University of China (Attachment)

#### No. HDJC2012-005

Page 25/26



Pic.5.3 Equipments layout of scaling test



Pic.5.4 Control box of Filter

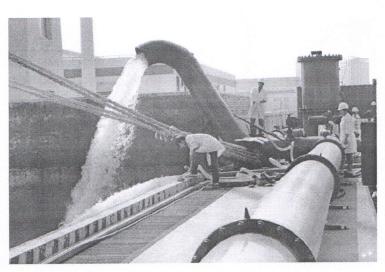
Test Operator:

Verifier: MARY Authorizer: 1823

Ocean Monitoring and Inspection Center, Ocean University of China (Attachment)

### No. HDJC2012-005

Page 26/26



Pic.5.5 Operation of the system

Test Operator:

Verifier: And FIZ Authorizer: Taxia

### Checklist of Scaling Test of OceanGuard™ Ballast Water Management System

Checklist number: HMT-BWMS-ST-CN-01

Manufacturer: Qingdao Headway Technology Co., Ltd.

Place: 8 Wharf, Qingdao Beihai Shipbuilding Heavy Industry Co., Ltd.

Date: Sep., 25th, 2012, Tuesday

No.	To be checked	Yes/No	Mark
1	Installation of equipment		
1.1	OceanGuard <sup>TM</sup> BWMS of 50 m <sup>3</sup> /h		
1.1.1	Components of the System: Filter, EUT Unit, Control Unit, Power Distribution Unit, EUT Power Unit, Neutralization Unit, Monitoring Unit, Flow Meter	Yes	
1.1.2	System piping arrangement is consistent with QAPP (please refer to P4 in QAPP for details)	Yes	
1.1.3	Sampling point of influent water is before the Filter	105	
1.1.4	Sampling point of treated water is after the EUT Unit.	Yes	
1.1.5	Sampling point of discharging water is after the Neutralization Unit.	Yes	
1.1.6	A simulated ballast tank of 1m <sup>3</sup> is near the system for storage of treated water.	Yes	
1.2	OceanGuard <sup>™</sup> BWMS of 1200 m³/h		
1.2.1	Components of the System: Filter, EUT Unit, Control Unit, Power Distribution Unit, EUT Power Unit, Neutralization Unit, Monitoring Unit, Flow Meter	Yes	
1.2.2	System piping arrangement is consistent with QAPP (please refer to P4 in QAPP for details)	Yes	
1.2.3	Sampling point of influent water is before the Filter	Yes	
1.2.4	Sampling point of treated water is after the EUT Unit.	Yes	
1.2.5	Sampling point of discharging water is after the Neutralization Unit.	Yes	
1.2.6	A simulated ballast tank of 1m <sup>3</sup> is near the system for storage of treated water.	Yes	*
1.3	OceanGuard <sup>™</sup> BWMS of 3000 m <sup>3</sup> /h		
1.3.1	Components of the System: Filter, EUT Unit, Control Unit, Power Distribution Unit, EUT Power Unit, Neutralization Unit, Monitoring Unit, Flow Meter	Yes	
1.3.2	System piping arrangement is consistent with QAPP (please refer to P4 in QAPP for details)	Yes	
1.3.3	Sampling point of influent water is before the Filter	Yes	
1.3.4	Sampling point of treated water is after the EUT Unit	Yes	
1.3.5	Sampling point of discharging water is after the Neutralization Unit	Yes	



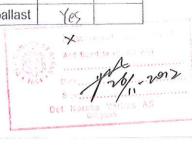
1.3.6	A simulated ballast tank of 1m <sup>3</sup> is near the system for storage of treated water	Yes	
1.4	Bypass		
1.4.1	Open and close state of the bypass pipe is controlled by automatic valve	Yes	
1.4.2	There is a sampling point in the bypass	Yes	
1.4.3	A simulated ballast tank of 1m³ is near the system for storage	107	
	of treated water	Yes	
2	Operation of equipment		
2.1	OceanGuard <sup>™</sup> BWMS of 50 m³/h		
2.1.1	Press the key "Ballast on", automatic valves are open or closed in normal state	Yes	
2.1.2	There is normal display in the panel of control unit	105	
2.1.3	Flow rate is around 50 m <sup>3</sup> /h	Yes	73.69 m3/
2.1.4	There is display of TRO concentration after the EUT unit, and the value is equal to 2 mg/L	Yes	203 mg/
2.1.5	When the Neutralization Unit starts work, neutralizer is added into the pipe	Yes	
2.1.6	TRO concentration after the neutralization unit is lower than 0.2 mg/L.	Yes	
2.1.7	Press the key "Ballast off", the automatic valve works in normal state	Yes	
2.1.8	There is record in the Log of Control Unit, and the time recorded as well as contents are correct	Yes	
2.1.9	During operation, 1 m <sup>3</sup> of water goes into simulated ballast tank	Yes	
2.2	OceanGuard <sup>TM</sup> BWMS of 1200 m <sup>3</sup> /h		
2.2.1	Press the key "Ballast on", automatic valves are open or closed in normal state	Yes	
2.2.2	There is normal display in the panel of control unit	Yes	
2.2.3	Flow rate is around 1200 m <sup>3</sup> /h	469	1303m/h
2.2.4	There is display of TRO concentration after the EUT unit, and the value is equal to 2 mg/L	Yes	2.00 13/1
2.2.5	When the Neutralization Unit starts work, neutralizer is added into the pipe	Yes	
2.2.6	TRO concentration after the neutralization unit is lower than 0.2 mg/L	Yes	0.0mg/4
2.2.7	Press the key "Ballast off", the automatic valve works in normal state	Yes	Ų į
2.2.8	There is record in the Log of Control Unit, and the time recorded as well as contents are correct	Yes	
2.2.9	During operation, 1 m <sup>3</sup> of water goes into simulated ballast tank	Yes	



2.3	OceanGuard <sup>™</sup> BWMS of 3000 m³/h		
2.3.1	Press the key "Ballast on", automatic valves are open or	Vac	
	closed in normal state	Ye9	
2.3.2	There is normal display in the panel of control unit	409	
2.3.3	Flow rate is around 3000 m <sup>3</sup> /h	Yeg	3079 m3/
2.3.4	There is display of TRO concentration after the EUT unit, and the value is equal to 2 mg/L	Yes	2.02mg/
2.3.5	When the Neutralization Unit starts work, neutralizer is added into the pipe	Yes	
2.3.6	TRO concentration after the neutralization unit is lower than 0.2 mg/L	Yes	oloma /
2.3.7	Press the key "Ballast off", the automatic valve works in normal state	Yes	
2.3.8	There is record in the Log of Control Unit, and the time recorded as well as contents are correct	465	
2.3.9	During operation, 1 m <sup>3</sup> of water goes into simulated ballast tank	Yes	
2.4	Operation of bypass		_
2.4.1	Press the key of "Emergency On" in control unit, valve of the bypass is opened automatically, and other valves are closed	Yes	
2.4.2	Sound and light alarm is started	405	
2.4.3	Press the key of "Mute", and the sound alarm is silenced	Ye5	
2.4.4	Press the key of "Emergency On" in control unit, valve of the		
	bypass is closed automatically	Yes	
2.4.5	During operation, 1 m <sup>3</sup> of water goes into simulated ballast tank	Y05	
3	Sampling, Storage and Transportation		
3.1	OceanGuard <sup>™</sup> BWMS of 50 m³/h		
3.1.1	Sampling bottles are numbered regularly, labels are waterproof and stuck firmly	Yes	
3.1.2	Sampling of influent water: three parallel samples for each parameter at three different time respectively	Yes	
3.1.3	Three parameters of influent water sampling are included: temperature and salinity, TSS, DOC, POC, organisms ≥50µm, organisms ≥10-50µm and bacteria	· Yes	
3.1.4	Sampling of treated water: three parallel samples for each parameter at three different time respectively	165	
3.1.5	One parameter of treated water sampling is included: TRO	Yes	
3.1.6	Sampling of discharging water: three parallel samples for each parameter at three different time respectively	Yes	
3.1.7	One parameter of discharging water sampling is included: TRO	Yes	
3.1.8	Samples that need to be tested in the lab, should be put into the thermal insulation box of ≤4°C immediately after sampling	Yes	



3.1.9	Samples in the thermal insulation box should be delivered to the lab within 6 hours	Yes
3.1.10	Monitor concentration of hydrogen gas in simulated ballast tanks	Yes
3.2	OceanGuard <sup>™</sup> BWMS of 1200 m³/h	
3.2.1	Sampling bottles are numbered regularly, labels are waterproof and stuck firmly	Yes
3.2.2	Sampling of influent water: three parallel samples for each parameter at three different time respectively	Yes
3.2.3	Three parameters of influent water sampling are included: temperature and salinity, TSS, DOC, POC, organisms ≥50µm, organisms ≥10-50µm and bacteria	Ye5
3.2.4	Sampling of treated water: three parallel samples for each parameter at three different time respectively	Yes
3.2.5	One parameter of treated water sampling is included: TRO	Yes
3.2.6	Sampling of discharging water: three parallel samples for each parameter at three different time respectively	Yes
3.2.7	One parameter of discharging water sampling is included: TRO	Yes
3.2.8	Samples that need to be tested in the lab, should be put into the thermal insulation box of ≤4℃ immediately after sampling	Yes
3.2.9	Samples in the thermal insulation box should be delivered to the lab within 6 hours	Yes
3.2.10	Monitor concentration of hydrogen gas in simulated ballast tanks	Yes
3.3	OceanGuard <sup>™</sup> BWMS of 3000 m <sup>3</sup> /h	•
3.3.1	Sampling bottles are numbered regularly, labels are waterproof and stuck firmly	Yes
3.3.2	Sampling of influent water: three parallel samples for each parameter at three different time respectively	Yes
3.3.3	Three parameters of influent water sampling are included: temperature and salinity, TSS, DOC, POC, organisms ≥50µm, organisms ≥10-50µm and bacteria	Yes
3.3.4	Sampling of treated water: three parallel samples for each parameter at three different time respectively	Yes
3.3.5	One parameter of treated water sampling is included: TRO	Yes
3.3.6	Sampling of discharging water: three parallel samples for each parameter at three different time respectively	Yes
3.3.7	One parameter of discharging water sampling is included: TRO	Yes
3.3.8	Samples that need to be tested in the lab, should be put into the thermal insulation box of ≤4℃ immediately after sampling	Yes
3.3.9	Samples in the thermal insulation box should be delivered to the lab within 6 hours	Yes
3.3.10	Monitor concentration of hydrogen gas in simulated ballast	Yes



	tanks	Yes
3.4	Sampling of control water	3 7 7 1
3.4.1	Sampling bottles are numbered regularly, labels are waterproof and stuck firmly	Yes
3.4.2	Sampling of control water: three parallel samples for each parameter at three different time respectively	Xe4
3.4.3	Three parameters of control water sampling are included: temperature and salinity, TSS, DOC and POC	Yes
3.4.4	Samples that need to be tested in the lab, should be put into the thermal insulation box of ≤4℃ immediately after sampling.	Yes
3.4.5	Samples in the thermal insulation box should be delivered to the lab within 6 hours	Ye5
3.4.6	Monitor concentration of hydrogen gas in simulated ballast tanks	Yes
3.5	Sampling from simulated ballast tank (during storage)	
3.5.1	Sampling bottles are numbered regularly, labels are waterproof and stuck firmly	465
3.5.2	One sample for one parameter from each simulated ballast tank is taken	Yes
3.5.3	One parameter of sampling is included: TRO	Ye5
3.5.4	Monitor concentration of hydrogen gas in simulated ballast tanks	Yes
4	On-site check	
4.1	All testing equipments have been calibrated with calibration label	Yes
4.2	Three parameters are tested on-site: temperature, salinity, TRO	465
4.3	Sensor thermometer is used to measure temperature	469
4.4	Salinity meter of sensor type is used to measure temperature	Yes
4.5	2800 spectrophotometer is used to monitor concentration of TRO	Yes
4.6	Gas detector of sensor type is used to monitor concentration of hydrogen gas	Yes
5	Check the data	
5.1	Concentration of hydrogen gas in all test cycles is below 4%	Yes
5.2	TRO concentration of treated water is equal to 2 mg/L	40%
5.3	TRO concentration of discharging water is lower than 0.2 mg/L	Yes



### Checklist of Scaling Test of OceanGuard™ Ballast Water Management System

Checklist number: HMT-BWMS-ST-CN-02

Manufacturer: Qingdao Headway Technology Co., Ltd.

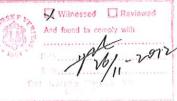
Place: 8 Wharf, Qingdao Beihai Shipbuilding Heavy Industry Co., Ltd.

Date: Oct., 10th, 2012, Wednesday

No.	To be checked	Yes/No	Mark
1	Installation of equipment		
1.1	OceanGuard <sup>TM</sup> BWMS of 50 m <sup>3</sup> /h		
1.1.1	Components of the System: Filter, EUT Unit, Control Unit, Power Distribution Unit, EUT Power Unit, Neutralization Unit, Monitoring Unit, Flow Meter	Yes	
1.1.2	System piping arrangement is consistent with QAPP (please refer to P4 in QAPP for details)	Yes	
1.1.3	Sampling point of influent water is before the Filter	Yes	
1.1.4	Sampling point of treated water is after the EUT Unit.	Yes	
1.1.5	Sampling point of discharging water is after the Neutralization Unit.	185	
1.1.6	A simulated ballast tank of 1m <sup>3</sup> is near the system for storage of treated water.	Xes	
1.2	OceanGuard <sup>™</sup> BWMS of 1200 m³/h		
1.2.1	Components of the System: Filter, EUT Unit, Control Unit, Power Distribution Unit, EUT Power Unit, Neutralization Unit, Monitoring Unit, Flow Meter	Yes	
1.2.2	System piping arrangement is consistent with QAPP (please refer to P4 in QAPP for details)	Yes	
1.2.3	Sampling point of influent water is before the Filter	Yes	
1.2.4	Sampling point of treated water is after the EUT Unit.	Yes	
1.2.5	Sampling point of discharging water is after the Neutralization Unit.	Yes	
1.2.6	A simulated ballast tank of 1m <sup>3</sup> is near the system for storage of treated water.	Yes	
1.3	OceanGuard <sup>TM</sup> BWMS of 3000 m <sup>3</sup> /h		
1.3.1	Components of the System: Filter, EUT Unit, Control Unit, Power Distribution Unit, EUT Power Unit, Neutralization Unit, Monitoring Unit, Flow Meter	Yes	
1.3.2	System piping arrangement is consistent with QAPP (please refer to P4 in QAPP for details)	Yes	
1.3.3	Sampling point of influent water is before the Filter	Yes	
1.3.4	Sampling point of treated water is after the EUT Unit	Yes	
1.3.5	Sampling point of discharging water is after the Neutralization Unit	Yes	



1.3.6	A simulated ballast tank of 1m <sup>3</sup> is near the system for storage of treated water	Yes	
1.4	Bypass	105	
1.4.1	Open and close state of the bypass pipe is controlled by automatic valve	Yes	
1.4.2	There is a sampling point in the bypass	Yes	
1.4.3	A simulated ballast tank of 1m <sup>3</sup> is near the system for storage of treated water	Yes	
2	Operation of equipment		
2.1	OceanGuard™ BWMS of 50 m³/h		
2.1.1	Press the key "Ballast on", automatic valves are open or closed in normal state	Yes	
2.1.2	There is normal display in the panel of control unit	485	
2.1.3	Flow rate is around 50 m <sup>3</sup> /h	Yes	13.85m3/
2.1.4	There is display of TRO concentration after the EUT unit, and the value is equal to 2 mg/L	Yes	204m3/L
2.1.5	When the Neutralization Unit starts work, neutralizer is added into the pipe	Yes	
2.1.6	TRO concentration after the neutralization unit is lower than 0.2 mg/L	Xes	
2.1.7	Press the key "Ballast off", the automatic valve works in normal state	Yes	
2.1.8	There is record in the Log of Control Unit, and the time recorded as well as contents are correct	Xes	
2.1.9	During operation, 1 m <sup>3</sup> of water goes into simulated ballast tank	489	
2.2	OceanGuard <sup>TM</sup> BWMS of 1200 m <sup>3</sup> /h		
2.2.1	Press the key "Ballast on", automatic valves are open or closed in normal state	Yes	
2.2.2	There is normal display in the panel of control unit	Yes	
2.2.3	Flow rate is around 1200 m <sup>3</sup> /h	Yes	1270milh
2.2.4	There is display of TRO concentration after the EUT unit, and the value is equal to 2 mg/L	Yes	2.06 19/1
2.2.5	When the Neutralization Unit starts work, neutralizer is added into the pipe	Yes	
2.2.6	TRO concentration after the neutralization unit is lower than 0.2 mg/L	Yes	o.omg/
2.2.7	Press the key "Ballast off", the automatic valve works in normal state	105	
2.2.8	There is record in the Log of Control Unit, and the time recorded as well as contents are correct	Yes	
2.2.9	During operation, 1 m <sup>3</sup> of water goes into simulated ballast tank	Yes	100 A



2.3	OceanGuard <sup>™</sup> BWMS of 3000 m <sup>3</sup> /h		
2.3.1	Press the key "Ballast on", automatic valves are open or		
	closed in normal state	Yes	
2.3.2	There is normal display in the panel of control unit	Yes	
2.3.3	Flow rate is around 3000 m <sup>3</sup> /h	Yes	3/85m/h
2.3.4	There is display of TRO concentration after the EUT unit, and the value is equal to 2 mg/L	Yes	2.03mg/L
2.3.5	When the Neutralization Unit starts work, neutralizer is added into the pipe	465	
2.3.6	TRO concentration after the neutralization unit is lower than 0.2 mg/L	465	v.om7/L
2.3.7	Press the key "Ballast off", the automatic valve works in normal state	Yes	
2.3.8	There is record in the Log of Control Unit, and the time recorded as well as contents are correct	Yes	
2.3.9	During operation, 1 m <sup>3</sup> of water goes into simulated ballast tank	Yes	
2.4	Operation of bypass		•
2.4.1	Press the key of "Emergency On" in control unit, valve of the	17.	
	bypass is opened automatically, and other valves are closed	Yes	
2.4.2	Sound and light alarm is started	165	
2.4.3	Press the key of "Mute", and the sound alarm is silenced	Yes	
2.4.4	Press the key of "Emergency On" in control unit, valve of the bypass is closed automatically	Yes	
2.4.5	During operation, 1 m <sup>3</sup> of water goes into simulated ballast tank	Yes	
3	Sampling, Storage and Transportation		•
3.1	OceanGuard <sup>TM</sup> BWMS of 50 m <sup>3</sup> /h		
3.1.1	Sampling bottles are numbered regularly, labels are waterproof and stuck firmly	Yes	
3.1.2	Sampling of influent water: three parallel samples for each parameter at three different time respectively	Y05	
3.1.3	Three parameters of influent water sampling are included: temperature and salinity, TSS, DOC, POC, organisms ≥50µm, organisms ≥10-50µm and bacteria	Yes	
3.1.4	Sampling of treated water: three parallel samples for each parameter at three different time respectively	Yes	
3.1.5	One parameter of treated water sampling is included: TRO	Yes	
3.1.6	Sampling of discharging water: three parallel samples for each parameter at three different time respectively	Yes	
3.1.7	One parameter of discharging water sampling is included: TRO	Yes	
3.1.8	Samples that need to be tested in the lab, should be put into the thermal insulation box of ≤4°C immediately after sampling	165	



3.1.9	Samples in the thermal insulation box should be delivered to	Var
	the lab within 6 hours	Yes
3.1.10	Monitor concentration of hydrogen gas in simulated ballast tanks	Yes
3.2	OceanGuard <sup>™</sup> BWMS of 1200 m³/h	
3.2.1	Sampling bottles are numbered regularly, labels are waterproof and stuck firmly	Yes
3.2.2	Sampling of influent water: three parallel samples for each parameter at three different time respectively	Yes
3.2.3	Three parameters of influent water sampling are included: temperature and salinity, TSS, DOC, POC, organisms ≥50µm, organisms ≥10-50µm and bacteria	Yes
3.2.4	Sampling of treated water: three parallel samples for each parameter at three different time respectively	Yes
3.2.5	One parameter of treated water sampling is included: TRO	Yes
3.2.6	Sampling of discharging water: three parallel samples for each parameter at three different time respectively	Yes
3.2.7	One parameter of discharging water sampling is included: TRO	Yes
3.2.8	Samples that need to be tested in the lab, should be put into the thermal insulation box of ≤4℃ immediately after sampling	Yes
3.2.9	Samples in the thermal insulation box should be delivered to the lab within 6 hours	Yes
3.2.10	Monitor concentration of hydrogen gas in simulated ballast tanks	Yes Yes
3.3	OceanGuard <sup>TM</sup> BWMS of 3000 m <sup>3</sup> /h	·
3.3.1	Sampling bottles are numbered regularly, labels are waterproof and stuck firmly	Yes
3.3.2	Sampling of influent water: three parallel samples for each parameter at three different time respectively	Yes Yes
3.3.3	Three parameters of influent water sampling are included: temperature and salinity, TSS, DOC, POC, organisms ≥50µm, organisms ≥10-50µm and bacteria	Yes
3.3.4	Sampling of treated water: three parallel samples for each parameter at three different time respectively	Yes
3.3.5	One parameter of treated water sampling is included: TRO	Yes
3.3.6	Sampling of discharging water: three parallel samples for each parameter at three different time respectively	Yes
3.3.7	One parameter of discharging water sampling is included: TRO	(6)
3.3.8	Samples that need to be tested in the lab, should be put into the thermal insulation box of ≤4℃ immediately after sampling	Yes
3.3.9	Samples in the thermal insulation box should be delivered to the lab within 6 hours	Yes



	tanks	Y25
3.4	Sampling of control water	
3.4.1	Sampling bottles are numbered regularly, labels are waterproof and stuck firmly	Yes
3.4.2	Sampling of control water: three parallel samples for each parameter at three different time respectively	Yes
3.4.3	Three parameters of control water sampling are included: temperature and salinity, TSS, DOC and POC	465
3.4.4	Samples that need to be tested in the lab, should be put into the thermal insulation box of ≤4°C immediately after sampling.	Yes
3.4.5	Samples in the thermal insulation box should be delivered to the lab within 6 hours	Yes
3.4.6	Monitor concentration of hydrogen gas in simulated ballast tanks	465
3.5	Sampling from simulated ballast tank (during storage)	
3.5.1	Sampling bottles are numbered regularly, labels are waterproof and stuck firmly	Yes
3.5.2	One sample for one parameter from each simulated ballast tank is taken	Yes
3.5.3	One parameter of sampling is included: TRO	Yes
3.5.4	Monitor concentration of hydrogen gas in simulated ballast tanks	Yes
4	On-site check	-
4.1	All testing equipments have been calibrated with calibration label	Yes
4.2	Three parameters are tested on-site: temperature, salinity. TRO	Yes
4.3	Sensor thermometer is used to measure temperature	Yes
4.4	Salinity meter of sensor type is used to measure temperature	165
4.5	2800 spectrophotometer is used to monitor concentration of TRO	Yes
4.6	Gas detector of sensor type is used to monitor concentration of hydrogen gas	Yes
5	Check the data	
5.1	Concentration of hydrogen gas in all test cycles is below 4%	Yes .
5.2	TRO concentration of treated water is equal to 2 mg/L	105
5.3	TRO concentration of discharging water is lower than 0.2 mg/L	165



### Checklist of Scaling Test of OceanGuard™ Ballast Water Management System

Checklist number: HMT-BWMS-ST-CN-03

Manufacturer: Qingdao Headway Technology Co., Ltd.

Place: 8 Wharf, Qingdao Beihai Shipbuilding Heavy Industry Co., Ltd.

Date: Oct., 16th, 2012, Tuesday

No.	To be checked	Yes/No	Mark
1	Installation of equipment		
1.1	OceanGuard <sup>TM</sup> BWMS of 50 m <sup>3</sup> /h		
1.1.1	Components of the System: Filter, EUT Unit, Control Unit, Power Distribution Unit, EUT Power Unit, Neutralization Unit, Monitoring Unit, Flow Meter	Y84	
1.1.2	System piping arrangement is consistent with QAPP (please refer to P4 in QAPP for details)	Yes	
1.1.3	Sampling point of influent water is before the Filter	465	
1.1.4	Sampling point of treated water is after the EUT Unit.	Yes	
1.1.5	Sampling point of discharging water is after the Neutralization Unit.	Yes	
1.1.6	A simulated ballast tank of 1m³ is near the system for storage of treated water.	Yes	
1.2	OceanGuard <sup>TM</sup> BWMS of 1200 m <sup>3</sup> /h		
1.2.1	Components of the System: Filter, EUT Unit, Control Unit, Power Distribution Unit, EUT Power Unit, Neutralization Unit, Monitoring Unit, Flow Meter	Yes	
1.2.2	System piping arrangement is consistent with QAPP (please refer to P4 in QAPP for details)	169	
1.2.3	Sampling point of influent water is before the Filter	Ye5	
1.2.4	Sampling point of treated water is after the EUT Unit.	YB	
1.2.5	Sampling point of discharging water is after the Neutralization Unit.	Yes	
1.2.6	A simulated ballast tank of 1m <sup>3</sup> is near the system for storage of treated water.	Yes	
1.3	OceanGuard <sup>™</sup> BWMS of 3000 m³/h		
1.3.1	Components of the System: Filter, EUT Unit, Control Unit, Power Distribution Unit, EUT Power Unit, Neutralization Unit. Monitoring Unit, Flow Meter	Yes	
1.3.2	System piping arrangement is consistent with QAPP (please refer to P4 in QAPP for details)	465	
1.3.3	Sampling point of influent water is before the Filter	Yes	
1.3.4	Sampling point of treated water is after the EUT Unit	Yes	
1.3.5	Sampling point of discharging water is after the Neutralization Unit	Yes	



1.3.6	A simulated ballast tank of 1m <sup>3</sup> is near the system for storage of treated water	Yes	
1.4	Bypass	(-)	
1.4.1	Open and close state of the bypass pipe is controlled by automatic valve	Yes	
1.4.2	There is a sampling point in the bypass	•	
1.4.3	A simulated ballast tank of 1m <sup>3</sup> is near the system for storage of treated water	405	
2	Operation of equipment		
2.1	OceanGuard <sup>™</sup> BWMS of 50 m³/h		
2.1.1	Press the key "Ballast on", automatic valves are open or closed in normal state	Yes	
2.1.2	There is normal display in the panel of control unit	Yes	
2.1.3	Flow rate is around 50 m <sup>3</sup> /h	105	59,20m3//
2.1.4	There is display of TRO concentration after the EUT unit, and the value is equal to 2 mg/L	Yes	2.04 10/1
2.1.5	When the Neutralization Unit starts work, neutralizer is added into the pipe	Yes	
2.1.6	TRO concentration after the neutralization unit is lower than 0.2 mg/L	Yes	0.0 mg/L
2.1.7	Press the key "Ballast off", the automatic valve works in normal state	Yes	
2.1.8	There is record in the Log of Control Unit, and the time		
	recorded as well as contents are correct	Yes	
2.1.9	During operation, 1 m <sup>3</sup> of water goes into simulated ballast tank	Yes	
2.2	OceanGuard™ BWMS of 1200 m³/h		1
2.2.1	Press the key "Ballast on", automatic valves are open or closed in normal state	Yes	
2.2.2	There is normal display in the panel of control unit	Yes	
2.2.3	Flow rate is around 1200 m <sup>3</sup> /h	405	1285m3/h
2.2.4	There is display of TRO concentration after the EUT unit, and the value is equal to 2 mg/L	Yes	203m3/L
2.2.5	When the Neutralization Unit starts work, neutralizer is added into the pipe	Yes	
2.2.6	TRO concentration after the neutralization unit is lower than 0.2 mg/L	Yes	0,0 m3/L
2.2.7	Press the key "Ballast off", the automatic valve works in normal state	Yes	
2.2.8	There is record in the Log of Control Unit, and the time recorded as well as contents are correct	Yes	
2.2.9	During operation, 1 m <sup>3</sup> of water goes into simulated ballast tank	Yes	



2.3	OceanGuard <sup>TM</sup> BWMS of 3000 m <sup>3</sup> /h		
2.3.1	Press the key "Ballast on", automatic valves are open or	4.0	
	closed in normal state	104	
2.3.2	There is normal display in the panel of control unit	404	
2.3.3	Flow rate is around 3000 m <sup>3</sup> /h	Yes	3006 m3/1
2.3.4	There is display of TRO concentration after the EUT unit, and the value is equal to 2 mg/L.	Yes	2.05Mg/L
2.3.5	When the Neutralization Unit starts work, neutralizer is added into the pipe	Yes	
2.3.6	TRO concentration after the neutralization unit is lower than 0.2 mg/L	Yes	aom9/l
2.3.7	Press the key "Ballast off", the automatic valve works in normal state	Yes	
2.3.8	There is record in the Log of Control Unit, and the time recorded as well as contents are correct	185	
2.3.9	During operation, 1 m <sup>3</sup> of water goes into simulated ballast tank	Yes	
2.4	Operation of bypass		
2.4.1	Press the key of "Emergency On" in control unit, valve of the		1
	bypass is opened automatically, and other valves are closed	Yes	
2.4.2	Sound and light alarm is started	405	
2.4.3	Press the key of "Mute", and the sound alarm is silenced	105	
2.4.4	Press the key of "Emergency On" in control unit, valve of the	10)	
	bypass is closed automatically	Yes	
2.4.5	During operation, 1 m <sup>3</sup> of water goes into simulated ballast tank	Yes	
3	Sampling, Storage and Transportation		
3.1	OceanGuard <sup>™</sup> BWMS of 50 m³/h		
3.1.1	Sampling bottles are numbered regularly, labels are waterproof and stuck firmly	Yes	
3.1.2	Sampling of influent water: three parallel samples for each parameter at three different time respectively	Yes	
3.1.3	Three parameters of influent water sampling are included: temperature and salinity, TSS, DOC, POC, organisms ≥50µm, organisms ≥10-50µm and bacteria	Yes	
3.1.4	Sampling of treated water: three parallel samples for each parameter at three different time respectively	Yes	
3.1.5	One parameter of treated water sampling is included; TRO	YB	
3.1.6	Sampling of discharging water: three parallel samples for each parameter at three different time respectively	Yes	
3.1.7	One parameter of discharging water sampling is included: TRO	Yes	
3.1.8	Samples that need to be tested in the lab, should be put into the thermal insulation box of ≤4°C immediately after sampling	Yes	



3.2	the lab within 6 hours  Monitor concentration of hydrogen gas in simulated ballast	Yes
3.2	Monitor concentration of hydrogen das in simulated ballast i	i i
	tanks	Yes
	OceanGuard <sup>™</sup> BWMS of 1200 m³/h	
3.2.1	Sampling bottles are numbered regularly, labels are waterproof and stuck firmly	Yes
3.2.2	Sampling of influent water: three parallel samples for each parameter at three different time respectively	Yes
3.2.3	Three parameters of influent water sampling are included: temperature and salinity, TSS, DOC, POC, organisms ≥50µm, organisms ≥10-50µm and bacteria	Yes
3.2.4	Sampling of treated water: three parallel samples for each parameter at three different time respectively	Yes
3.2.5	One parameter of treated water sampling is included: TRO	Yes
3.2.6	Sampling of discharging water: three parallel samples for each parameter at three different time respectively	Yes
3.2.7	One parameter of discharging water sampling is included: TRO	Yes
3.2.8	Samples that need to be tested in the lab, should be put into the thermal insulation box of ≤4℃ immediately after sampling	Yes
3.2.9	Samples in the thermal insulation box should be delivered to the lab within 6 hours	Yes
3.2.10	Monitor concentration of hydrogen gas in simulated ballast tanks	Yes
3.3	OceanGuard <sup>TM</sup> BWMS of 3000 m <sup>3</sup> /h	
3.3.1	Sampling bottles are numbered regularly, labels are waterproof and stuck firmly	(es
3.3.2	Sampling of influent water: three parallel samples for each parameter at three different time respectively	Yes
3.3.3	Three parameters of influent water sampling are included: temperature and salinity, TSS, DOC, POC, organisms ≥50µm, organisms ≥10-50µm and bacteria	Yes
3.3.4	Sampling of treated water: three parallel samples for each parameter at three different time respectively	Yes
3.3.5	One parameter of treated water sampling is included: TRO	Yes
3.3.6	Sampling of discharging water: three parallel samples for each parameter at three different time respectively	Yes
3.3.7	One parameter of discharging water sampling is included: TRO	Yes
3.3.8	Samples that need to be tested in the lab, should be put into the thermal insulation box of ≤4℃ immediately after sampling	Yes
3.3.9	Samples in the thermal insulation box should be delivered to the lab within 6 hours	Yes
1	Monitor concentration of hydrogen gas in simulated ballast	Yés



	tanks	Yes
3.4	Sampling of control water	
3.4.1	Sampling bottles are numbered regularly, labels are waterproof and stuck firmly	Yes
3.4.2	Sampling of control water: three parallel samples for each parameter at three different time respectively	Yes
3.4.3	Three parameters of control water sampling are included: temperature and salinity, TSS, DOC and POC	Yes
3.4.4	Samples that need to be tested in the lab, should be put into the thermal insulation box of ≤4℃ immediately after sampling.	Xes
3.4.5	Samples in the thermal insulation box should be delivered to the lab within 6 hours	Yes
3.4.6	Monitor concentration of hydrogen gas in simulated ballast tanks	Yes
3.5	Sampling from simulated ballast tank (during storage)	
3.5.1	Sampling bottles are numbered regularly, labels are waterproof and stuck firmly	Yes
3.5.2	One sample for one parameter from each simulated ballast tank is taken	Yes
3.5.3	One parameter of sampling is included: TRO	Yes
3.5.4	Monitor concentration of hydrogen gas in simulated ballast tanks	Yes
4	On-site check	
4.1	All testing equipments have been calibrated with calibration label	Ye4
4.2	Three parameters are tested on-site: temperature, salinity, TRO	Yes
4.3	Sensor thermometer is used to measure temperature	Yes
4.4	Salinity meter of sensor type is used to measure temperature	Yes
4.5	2800 spectrophotometer is used to monitor concentration of TRO	Yes
4.6	Gas detector of sensor type is used to monitor concentration of hydrogen gas	Yes
5	Check the data	
5.1	Concentration of hydrogen gas in all test cycles is below 4%	105
5.2	TRO concentration of treated water is equal to 2 mg/L	YOG,
5.3	TRO concentration of discharging water is lower than 0.2 mg/L	165

